



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,687	02/02/2001	Noel Maclaren	BII-001CP

CONFIRMATION NO. 3472

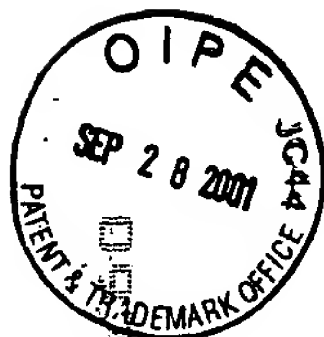
000959  
LAHIVE & COCKFIELD  
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## FORMALITIES LETTER



\*OC000000006005523\*

Date Mailed: 04/25/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$694.<sup>10/01/2001 BABRAHA1 00000037 09775687</sup>
  - \$279 for 31 total claims over 20. 01 FC:201 355.00 OP
  - \$280 for 7 independent claims over 3. 02 FC:203 279.00 OP
  - \$135 for multiple dependent claim surcharge. 03 FC:202 280.00 OP
  - 04 FC:204 135.00 OP
  - 05 FC:205 65.00 OP
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1114.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

*A copy of this notice MUST be returned with the reply.*

*Man*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09775587 092801  
F082250 2895260

#3



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Noel Maclaren  
and Anjli Kukreja

Group Art Unit: 1645

Examiner: Not Yet Assigned

Serial No.: 09/775,687

Filed: February 2, 2001

For: "Compositions and Methods for Reducing  
Autoimmunity"

Attorney Docket No.: BII-001CP

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231 on the date set forth below.

September 25, 2001

Date of Signature and of Mail Deposit

By:

Megan E. Williams, Esq.  
Registration No. 43,270  
Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NON-PROVISIONAL APPLICATION

Dear Sir:

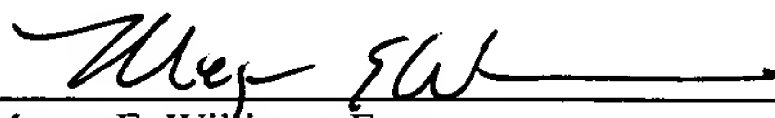
Responsive to the Notice to File Missing Parts of Application dated April 25, 2001, Applicants' attorney submits herewith the executed Declaration, Petition and Power of Attorney document for the above-identified patent application. A check in the amount of \$1,114.00 is enclosed to cover the total amount owed by Applicants including \$65.00 surcharge, \$355.00 basic filing fee, and \$694.00 additional claim fee based on small entity status. A copy of Formalities Letter is also enclosed. Finally, submitted herewith, are six (6) Substitute Sheets of Drawings (Figures 1 - 10). Applicant submits that the formal drawings are in compliance with 37 C.F.R. § 1.84. No new matter has been added.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Megan E. Williams, Esq.  
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Attorney for Applicants

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Dated: September 25, 2001

09/25/01 16:50:22

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\* Admitted in NY only  
\*\* Passed the Patent Bar Examination

September 25, 2001

Commissioner for Patents  
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Re: U.S. Patent Application No.: 09/775,687  
Title: *COMPOSITIONS AND METHODS FOR REDUCING AUTOIMMUNITY*  
Inventor: Noel Maclaren et al.  
Filed: February 2, 2001  
Our Ref. No.: BII-001CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts of Non-provisional Application (2 pgs., in duplicate);
2. An executed Declaration, Petition and Power of Attorney (5 pgs.);
3. A copy of Formalities Letter (2 pgs.);
4. Six (6) Sheets of Formal Drawings (Figures 1-10);
5. A check for \$1,114.00 (Filing Fee, Extra Claims Fee, and Surcharge Fee); and
6. Pre-paid acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. For this purpose, a duplicate of this sheet is enclosed.

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, BOX MISSING PARTS, Washington, DC 20231 on:

September 25, 2001

Date

Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Megan E. Williams, Esq.  
Registration No. 43,270  
Attorney for Applicants